

2014 1

					2	
1.					2	
A	MSCI	3			2	
B	MSCI	27	UBS		2	
2.					2	
A	Source		A50 UCITS ETF		3	
B					3	
3.					3	
A					3	
B					4	
C	MSCI		Horizons		4	
					4	
1.		1	ETF	ETN	ETC	4
A			ETF			4
B			ETF			4
C			ETF			5
D			ETF			5
E	ETN	ETC				5
2.		1	ETF	ETN	ETC	5
A			ETF			5
B			ETF			6
C			ETF			6
D			ETF			6
E	ETN	ETC				7
3.	DEUTSCHE BÖRSE XETRA	1	ETF	ETC	ETN	7
4.	NYSE ARCA U. S.	1	ETF	ETC	ETN	7
1	2013	12				9
2	2013	12	ETF			10

1.

MSCI

iShares DB UBS
ETF

2014 01 24 3 ETF
3 ETF DB ETF
11 ETF ETF

A MSCI 3

2014 01 24 MSCI 3
ETF MSCI ACWI MSCI
25-50 MSCI IMI 25-50

B MSCI 27 UBS

2014 01 08 MSCI 27 MSCI UBS
ETF UBS ETF

2.

2011 RQFII 2014 1 5 RQFII 2013

RQFII

16 RQFII 1141.5

RQFII RQFII

341

218 207

A Source A50 UCITS ETF

2014 01 09 Source

Source A50 UCITS ETF Source

A50 UCITS ETF 1 3 14.2 2.3

15 94.3%

Source A50 UCITS ETF

Source

RQFII ETF

B

2014 01 24

A B

A B 5

3.

A

2014 01 22

GCC

30

B

2014 01 30

GICS

C MSCI

Horizons

2014 01 14

MSCI

MSCI

Horizons

ETF

1.

1

ETF

ETN

ETC

A

ETF

●

ETF Vident Financial

ETF

ETF

B

ETF

●

ETF First Trust

3

ETF

ETF

beta

ETF

ETF

- **ETF** Van Eck Global 4 **ETF**
ETF
 - **MLP ETF** Direxion **MLP ETF** Zacks MLP
25
- C** **ETF**
- **ETF** State Street Global Advisors 3 **ETF**
ETF
 - **ETF** Direxion **ETF** **FTSE**
ETF **FTSE** **ETF**
 - **ETF** Deutsche Bank 3 **MSCI** **ETF**
- D** **ETF**
- **ETF** Market Vectors
ETF
 - **ETF** Pimco **ETF** 1-3
3-8
- E** **ETN** **ETC**
-
- 2.** **1** **ETF** **ETN** **ETC**
- A** **ETF**
- **ETF** Global X **ETF**
Global X **MSCI** **ETF**

- **ETF** Deutsche Bank ETF
MSCI

- B** **ETF**

- **ETF** Emerging Global Advisors

- **ETF** USCF Advisors

- C** **ETF**

- **ETF** Precidian Investments ETF
ETF 3 ETF
1000 2000 3000 ETF

- **ETF** Direxion ETF
ETF

- **ETF** DB 3 ETF

- D** **ETF**

- **ETF** Emerging Global Advisors 3 ETF

- **ETF** WisdomTree 2 3-7 20+

- **ETF** Elkhorn Investments ETF
FOF ETF

● **ETF iShares** **ETF**

E ETN ETC

● **ETF Powershares** **ETF**

3. Deutsche Börse Xetra 1 **ETF ETC ETN**

db x-trackers Mittelstand & MidCap Germany UCITS ETF (DR)	Solactive Mittelstand & MidCap Deutschland TRN Index

4. NYSE ARCA U.S. 1 **ETF ETC ETN**

	ETF	
iShares	iShares Currency Hedged MSCI Japan ETF	01/31/2014
iShares	iShares Currency Hedged MSCI Germany ETF	01/31/2014
iShares	iShares Currency Hedged MSCI EAFE ETF	01/31/2014
iShares	iShares Treasury Floating Rate Bond ETF	01/31/2014
WisdomTree	WisdomTree Bloomberg Floating Rate Treasury Fund	01/31/2014
Market Vectors	Market Vectors MSCI International Quality ETF	01/21/2014
Market Vectors	Market Vectors MSCI Emerging Markets Quality ETF	01/21/2014
Market Vectors	Market Vectors MSCI International Quality Dividend ETF	01/21/2014
Market Vectors	Market Vectors MSCI Emerging Markets Quality Dividend ETF	01/21/2014
Deutsche	db X-trackers MSCI All World ex US Hedged Equity Fund	01/21/2014
Deutsche	db X-trackers MSCI South Korea Hedged Equity Fund	01/21/2014
Deutsche	db X-trackers MSCI Mexico Hedged Equity Fund	01/21/2014
PIMCO	PIMCO Diversified Income Exchange-Traded Fund	01/21/2014
PIMCO	PIMCO Low Duration Exchange-Traded Fund	01/21/2014

Direxion	Direxion Zacks MLP High Income Shares	01/21/2014
Direxion	Direxion Daily FTSE Europe Bear 3x Shares	01/17/2014
Direxion	Direxion Daily FTSE Europe Bull 3x Shares	01/17/2014
AdvisorShares	AdvisorShares Sage Core Reserves ETF	01/13/2014
PowerShares	PowerShares NYSE Century Portfolio	01/13/2014
Market Vectors	Market Vectors Short High-Yield Municipal Index ETF	01/10/2014
State Street	SPDR MFS Systematic Core Equity ETF	01/07/2014
State Street	SPDR MFS Systematic Growth Equity ETF	01/07/2014
State Street	SPDR MFS Systematic Value Equity ETF	01/07/2014
EGShares	EGShares TCW EM Long Term Investment Grade Bond ETF	01/06/2014
EGShares	EGShares TCW EM Intermediate Term Investment Grade Bond ETF	01/06/2014
EGShares	EGShares TCW EM Short Term Investment Grade Bond ETF	01/06/2014

1 2013 12

Derivative Exchange	December				Year-to-Date Total			
	Stock Index Options		Stock Index Futures		Stock Index Options		Stock Index Futures	
	Number of Contracts Traded	Notional Turnover	Number of Contracts Traded	Notional Turnover	Number of Contracts Traded	Notional Turnover	Number of Contracts Traded	Notional Turnover
Americas								
BM&FBOVESPA	44890	8813.9	1115845	24095.5	982688	238119	20443962	504342
Bourse de Montreal	90513	623.059	559864	75022.1	511204	3550.81	4089275	555764
CBOE Future Exchange	NA	NA	3201242	NA	NA	NA	40193447	NA
Chicago Board Options Exchange	29507363	NA	NA	NA	372668403	NA	NA	NA
CME Group	8032663	922367	44195546	4054590	91006693	9987400	572902335	46628400
Colombia SE	NA	NA	87	1.84697	NA	NA	848	19.1707
ICE Futures US	5895	667	2647897	299606	65132	6516	29484367	2962600
International Securities Exchange	88685	NA	NA	NA	4295754	NA	NA	NA
MexDer	1169	37.7302	144591	4652.28	54853	1761.45	952165	30896.1
NASDAQ OMX (US)	73711	NA	NA	NA	2496241	NA	NA	NA
NYSE Euronext (US)	1250986	NA	NA	NA	8300737	NA	NA	NA
Asia - Pacific								
ASX Derivatives Trading	781190	35748.4	45194	395.089	9224326	441915	257098	2561.59
ASX SFE Derivatives Trading	61786	7112.59	1208594	138637	388450	46330.5	9994096	1204770
Bombay SE	17906457	90853.7	59655	305.537	250340019	1168300	2114318	10210.9
Bursa Malaysia Derivatives	136	NA	176611	4613.95	5311	2.55285	2678309	71423.6
China Financial Futures Exchange	NA	NA	15641145	1848710	NA	NA	193220516	22909400
Hong Kong Exchanges	1379411	140486	3668418	329966	17826035	1838560	50608221	4539370
Korea Exchange	42545742	5274340	3661367	453841	580460364	67864200	49970933	5855830
National Stock	62953644	318264	7621855	37826	930053758	4668890	101749706	498918

Exchange India								
Osaka SE	3664320	NA	17983947	646521	57269727	NA	264833731	7468830
Shanghai Futures Exchange	NA	NA	NA	NA	0	0	0	0
Singapore Exchange	690021	NA	8006322	NA	10501431	NA	99526505	NA
TAIFEX	7656182	108146	2657343	108379	109671774	1485740	37873740	1480410
Thailand Futures Exchange	4997	NA	357940	NA	65409	NA	5688404	NA
Tokyo SE Group	29762	NA	3085845	338119	386231	NA	26081610	2630990
Europe - Africa - Middle East								
Athens Derivatives Exchange	8163	22.089	237308	624.707	194455	432.288	2324227	28113.5
BME Spanish Exchanges	586277	8011.48	627847	65452.9	5172426	60489.2	7565969	672335
Borsa Istanbul	249	7.32604	657621	15410.2	1108	35.1273	2313575	63631.4
Budapest SE	0	0	34382	30.202	0	0	402264	341.847
EUREX	23873283	1187460	29275896	1756570	317411074	13764800	327431218	18691000
ICE Futures Europe	0	0	0	0	0	0	0	0
Johannesburg SE	336154	164.528	2097047	57363.4	4152734	2946.53	16452051	487400
Moscow Exchange	3862540	10768	17652591	49719.9	42311733	118079	267791716	752618
NYSE.Liffe Europe	2969478	241926	7323439	623639	41471384	3297390	82669154	6282720
OMX Nordic Exchange	1505734	10484.4	2390845	47205.6	21758989	151241	30898519	573599
Oslo Børs	55306	29.7203	249998	134.294	864097	439.135	3505681	1752.92
Tel Aviv SE	5488127	NA	6923	NA	48316446	641250	63590	976.059
Wiener Börse	1647	0.964586	63743	3915.67	14876	56.2067	358558	22030.8

2 2013 12 ETF

Exchange	December		
	Number of ETFs	Total Turnover	Number of Trades
Americas			
BM&FBOVESPA	15	523.405	54.1
Colombia SE	5	68.258	0.21
Lima SE	6	0	0
Mexican Exchange	469	6020.447	61490
NASDAQ OMX	122	521063.5	18389.29
NYSE Euronext (US)	1407	312259.26	11852.27

Santiago SE	105	18.34	0.48
TMX Group	417	5138.259	480.76
Asia - Pacific			
Australian SE	73	663.294	
BSE India	33	23.572	52.97
Bursa Malaysia	5	0.644	0
Hong Kong Exchanges	116	7441.066	200.74
Indonesia SE	5	0.025	0.31
Japan Exchange Group - Osaka	NA	NA	NA
Japan Exchange Group - Tokyo	169	30330.889	
Korea Exchange	146	14625.667	2829.03
National Stock Exchange India	36	147.23	214.27
Shanghai SE	47	10233.778	773
Shenzhen SE	32	2516.88	280.42
Singapore Exchange	89	157.888	
Taiwan SE Corp.	21	624.518	114.8
The Stock Exchange of Thailand	16	6.29	
Europe - Africa - Middle East			
Athens Exchange	3	0.951	0.13
BME Spanish Exchanges	72	575.982	0
Borsa Istanbul	17	163.044	28.3
Budapest SE	1	0	0.01
Deutsche Börse	1029	14974.921	185.74
Irish SE	1	1.13	0.03
Johannesburg SE	40	306.635	9.54
Ljubljana SE	0	0	0
London SE Group		22480.364	286
Luxembourg SE	134	0	0
NASDAQ OMX Nordic Exchange	55	1565.799	31.94
NYSE Euronext (Europe)	654	8032.245	131.35
Oslo Børs	67	293.446	13.35
Saudi Stock Exchange - Tadawul	3	0.819	0.11
SIX Swiss Exchange	794	9190.297	76.64
Warsaw SE		3.397	0.94
Wiener Börse	20	0.179	0.02

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