

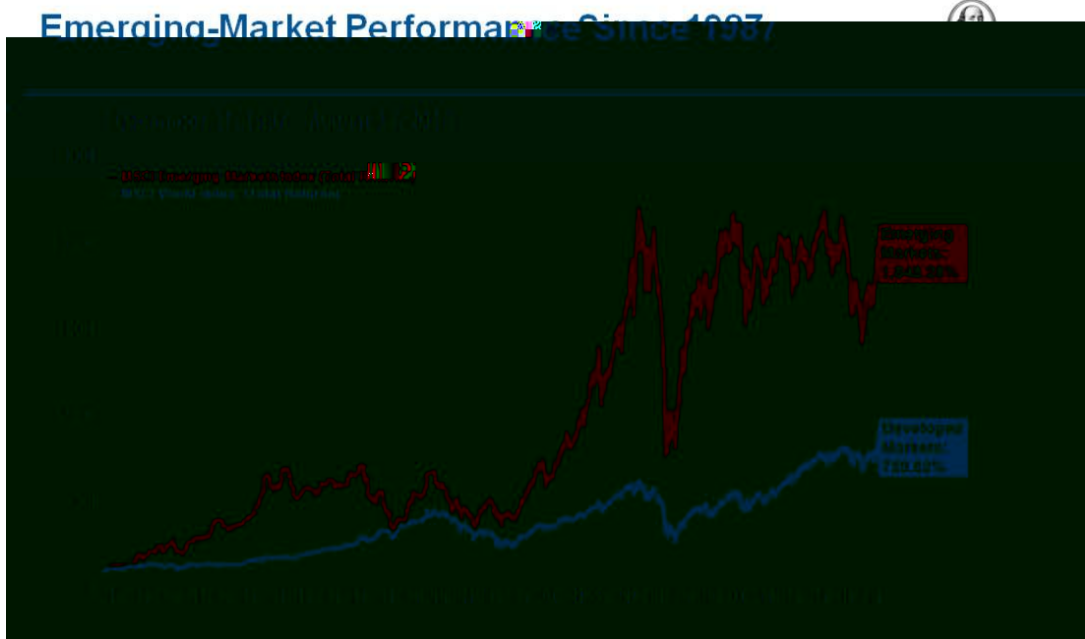
国际指数动态

(2016年12月)

201612

	2
1.	NIKKEI	3
2.	ASIA INDEX	6
	6
1.	12 ETF ETN ETC	7
A	ETF	7
B	ETF	7
C	ETF	9
D	ETF	10
E	ETF	10
F	ETN ETC.....	10
2.	11
A	2016 11	11
B	2016 11 ETF	12
C	2016 11 ETF	13

GDP 40%



AEC

6

2016

Nikkei

S&P DJI

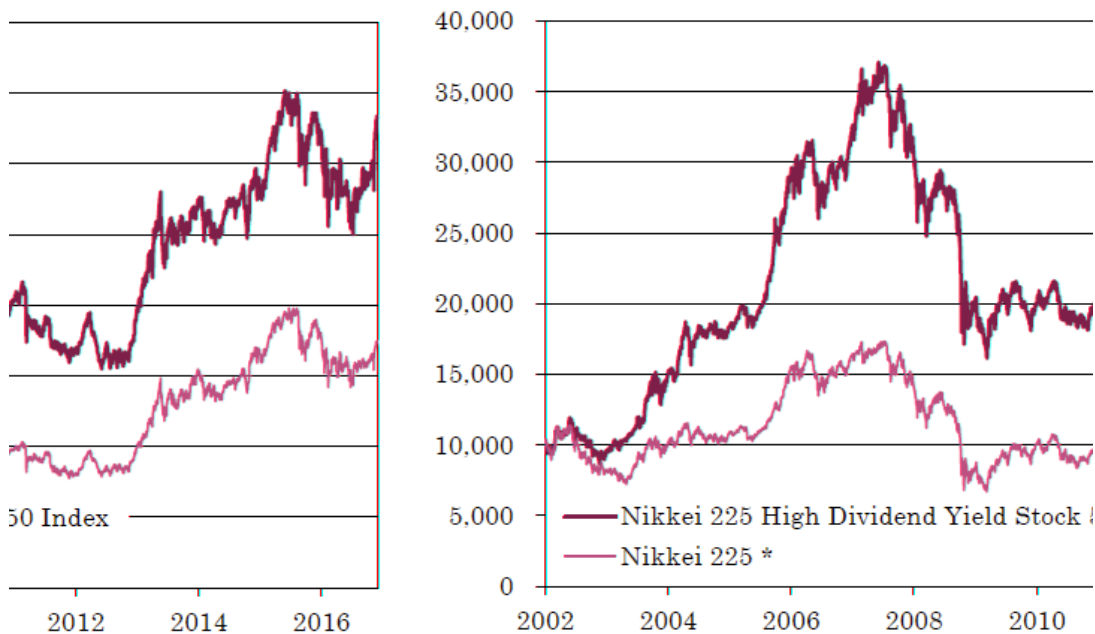
Asia Index Private Limited

1. Nikkei

2016 12 12 Nikkei 2017 1 10
Nikkei 225 High Dividend Yield Stock 50 Index Nikkei
225 Index 50

Smart Beta Dividend Yield Weight
Method
Nikkei 225 High Dividend Yield Stock 50 Index
3.21% Nikkei 225 Index
1.77% 2.01% Nikkei 225 Index

Source: Data provided by the issuer. The data is for reference only and does not constitute an investment recommendation.



2002 1 1 10000

代码	证券名称	代码	证券名称
1928	Sekisui House,Ltd.	7205	Hino Motors,Ltd.
2768	Sojitz Corp.	7270	Fuji Heavy Industries Ltd.
2914	Japan Tobacco Inc.	7751	Canon Inc.
3863	Nippon Paper Industries Co.,Ltd.	7752	Ricoh Co.,Ltd.
4061	Denka Co.,Ltd.	8001	Itochu Corp.
4188	Mitsubishi Chemical Holdings	8002	Marubeni Corp.
4208	Ube Industries,Ltd.	8031	Mitsui & Co.,Ltd.
4502	Takeda Pharmaceutical Co.,Ltd.	8035	Tokyo Electron Ltd.
4704	Trend Micro Inc.	8053	Sumitomo Corp.
4902	Konica Minolta,Inc.	8058	Mitsubishi Corp.
5002	Showa Shell Sekiyu K.K.	8304	Aozora Bank,Ltd.
5020	JX Holdings,Inc.	8306	Mitsubishi UFJ Financial Group
5101	The Yokohama Rubber Co.,Ltd.	8308	Resona Holdings,Inc.
5108	Bridgestone Corp.	8309	Sumitomo Mitsui Trust Holdings.
5214	Nippon Electric Glass Co.,Ltd.	8316	Sumitomo Mitsui Financial Group
5413	Nisshin Steel Co.,Ltd.	8354	Fukuoka Financial Group.
5706	Mitsui Mining and Smelting	8411	Mizuho Financial Group.
5715	Furukawa Co.,Ltd.	8601	Daiwa Securities Group.
6113	Amada Holdings Co.,Ltd.	8604	Nomura Holdings,Inc.
6301	Komatsu Ltd.	8628	Matsui Securities Co.,Ltd.
6471	NSK Ltd.	8725	MS&AD Insurance Group Holdings
6472	NTN Corp.	8729	Sony Financial Holdings Inc.
6703	Oki Electric Industry Co.,Ltd.	8766	Tokio Marine Holdings,Inc.
7012	Kawasaki Heavy Industries,Ltd.	9412	SKY Perfect JSAT Holdings Inc.
7201	Nissan Motor Co.,Ltd.	9437	NTT Docomo,Inc.

2016 10 Nikkei 12 1

Nikkei Asia 300 Indices Family

11

Nikkei

2015 12 1 1000 Nikkei Singapore

Exchange Limited

QUICK

Nikkei

2017 ETF

300

			58	21
42	40	22	20	25
20	25	5	44	

国家/地区	指数	样本数量
综合	Nikkei Asia 300 Index	324
东盟	Nikkei Asia 300 ASEAN Index	119
中国内地	Nikkei Asia 300 China Index	58

20% 100
150 ROE
70% 30%
200
1.5% 2016 8 31 10000

ROE 18.2% 400 1
ROE 12.5% 8.2%.

2. Asia Index

2016 12 6 S&P DJI Asia Index Private
Limited S&P BSE SENSEX 50 Index

50

S&P BSE LargeMidCap Index

50

2005 12 15

54%

2016

ETF

ETF

30

12

30

11

3

ETF

		11	ETF		2016
		125	ETF		2012
102			ETF		
	7	ETF		Bats	
1.		12	ETF ETN ETC		
A		ETF			
●	ETF	iShares	1		ETF
		iShares OMX Stockholm Capped UCITS ETF			OMX Stockholm
		Benchmark Cap Index			ETF
			80		
			10%		
		ETF		(29.6%)	(29.0%)
		(12.0%)	(6.9%)		
●	ETF	iShares	1		
	ETF	iShares MSCI International Developed ETF			
22					
●	ETF	Horizons ETFs	1		
	ETF	EURO STOXX 50 Futures Roll Index			
		ETF			
B		ETF			
●	ETF	ETF	Eve Capital	1	
ETF	WEAR ETF (WEAR)		EQM Wearables Index		
		ETF			

- | | | | | |
|--|----------------------------------|--------|---|----------------|
| | ETF | ETF | Legg Mason | 1 |
| | ETF | | Legg Mason Global Infrastructure ETF (INFR) | |
| | RARE Global Infrastructure Index | | | |
| | MSCI ACWI All Cap Index | | | 60% |
| | | 75-200 | | 7 |
| | | ETF | | iShares Global |
| | Infrastructure ETF (IGF) | 2007 | | 15 |
- | | | | | |
|--|------------|---------|---|----------------|
| | ETF | Elkhorn | 9 | ETF |
| | | | | S&P MidCap 400 |
| | Index | | | ETF |

 - ✓ Elkhorn S&P MidCap Consumer Discretionary Portfolio (XD)
 - ✓ Elkhorn S&P MidCap Consumer Staples Portfolio (XS)
 - ✓ Elkhorn S&P MidCap Energy Portfolio (XE)
 - ✓ Elkhorn S&P MidCap Financials Portfolio (XF)
 - ✓ Elkhorn S&P MidCap Health Care Portfolio (XH)
 - ✓ Elkhorn S&P MidCap Industrials Portfolio (XI)
 - ✓ Elkhorn S&P MidCap Information Technology Portfolio (XK)
 - ✓ Elkhorn S&P MidCap Materials Portfolio (XM)
 - ✓ Elkhorn S&P MidCap Utilities Portfolio (XU)
- | | | | | | | |
|--|----------------------|----------------|--------------------------|---|----------------------|-----|
| | ESG | ETF | iShares | 1 | ESG | ETF |
| | | ESG | | | iShares MSCI USA ESG | |
| | Optimized ETF (ESGU) | | MSCI USA ESG Focus Index | | | |
| | | MSCI USA Index | | | | |
| | | ESG | | | | |

C
ETF

- **ETF:** Invesco PowerShares 2
 ETF PowerShares S&P International Developed High
 Dividend Low Volatility Portfolio (IDHD) PowerShares S&P SmallCap High
 Dividend Low Volatility Portfolio (XSHD) Bats
 ETF
 Absolute Shares 1
 ETF WBI Power Factor High Dividend ETF (WBIY)
 ETF 50
 5%
- **ETF:** Janus Capital Group 1 ETF
 Janus SG Global Quality Income ETF (SGQI) SGI Global Quality
 Income Index 30
 ETF 25-75
- **ETF** John Hancock 1
 ETF John Hancock Multifactor Developed International ETF
 (JHMD) ETF
- **Smart Beta ETF** ETF Nuveen 5 Smart Beta ETF
 NuShares ESG Large-Cap Growth ETF NuShares ESG Large-Cap
 Value ETF (NULV) NuShares ESG Mid-Cap Growth ETF (NUMG)
 NuShares ESG Mid-Cap Value ETF (NUMV) NuShares ESG Small-Cap ETF
 (NUSC) Bats ETF
 ESG
- **ETF** Pacer Funds Trust 1
 ETF Pacer US Cash Cows 100 ETF US Cash Cows 100
 Index Bats Russell 1000

REITs

100

D ETF

- **ETF** ETF Saba Capital Management 1
ETF Saba Interest Rate Hedged CEF ETF (CEFS)
Bats ETF

- **ETF** Virtus 1 **ETF** Virtus
Newfleet Dynamic Credit ETF (BLHY) ETF

ETF

- **ETF** First Trust 1
ETF First Trust TCW Opportunistic Fixed Income ETF (FIXD)
ETF

- **ETF** 1 **ETF**
Deutsche X-trackers USD High Yield Corporate Bond ETF (HYLB)
ETF

E ETF

●

F ETN ETC

- **ETN:** 1 **ETN** 1
ETN VelocityShares 3x Inverse Crude Oil ETN
VelocityShares 3x Long Crude Oil ETN

2.

A 2016 11

Derivative Exchange	Nov				Year-to-Date Total			
	Stock Index Options		Stock Index Futures		Stock Index Options		Stock Index Futures	
	Number of Contracts Traded	Notional Turnover	Number of Contracts Traded	Notional Turnover	Number of Contracts Traded	Notional Turnover	Number of Contracts Traded	Notional Turnover
Americas								
BM&FBOVESPA	1952357	16538.6	21490731	107673	12447668.56	160772	152102186	712066
Bolsa de Valores de Colombia	NA	NA	75	0.778305	NA	NA	429	4.62873
CBOE Futures Exchange	NA	NA	5680905	NA	NA	NA	55905176	NA
Chicago Board Options Exchange	42971494	NA	NA	NA	396794926	NA	NA	NA
CME Group	16862337	2294580	49812184	5259670	146825449	20223200	563694338	56969500
ICE Futures US	8847	1126	4447850	400894	45265	5329	50251141	3933550
International Securities Exchange	22528	NA	NA	NA	717537	NA	NA	NA
MexDer	4612	101.635	122298	1821.9	53976	1300.47	1355742	23552.2
Nasdaq - US	221347	NA	NA	NA	2298930	NA	NA	NA
Asia - Pacific								
Australian Securities Exchange	1221600	49506	885038	87431.2	11469392	454802	10752766	1020150
BSE India Limited	NA	NA	554	5.53447	6550838	48037.2	63930	555.679
Bursa Malaysia Derivatives	1041	NA	268073	4606.76	8757	NA	2573440	50009.8
China Financial Futures Exchange	NA	NA	639259	97531.2	NA	NA	8778097	1312830
Hong Kong Exchanges and Clearing	2914835	245457	7117187	623756	27938668	2267460	76973615	6314540
Japan Exchange Group	3661128	NA	26088090	911723	31546998	NA	269162365	9500570
Korea Exchange	30285315	3271560	3075101	332466	318617668	33999800	31447683	3361800
National Stock Exchange of India	106583292	1068280	6602274	64078.5	939212867	8772750	69674682	617855
NZX Limited	NA	NA	0	NA	0	0	12	0.89115

Singapore Exchange	544634	NA	12813835	NA	5873976	NA	131081192	NA
TAIFEX	15881704	226401	5620560	221210	156019850	2101420	56407128	2086080
Thailand Futures Exchange	48382	NA	2495987	NA	393537	NA	30108889	NA
Europe - Africa - Middle East								
Athens Derivatives Exchange	7136	24.1354	59940	202.111	55344	116.089	842061	1403.85
BME Spanish Exchanges	289928	2671.33	774287	55315.1	3108220	31606.2	8877977	654232
Borsa Istanbul	31211	87.7221	3802429	10629.3	287942	908.036	42287447	134808
Budapest Stock Exchange	0	0	19617	20.145	0	0	207782	194.452
Dubai Gold & Commodities Exchange	NA	NA	18285	133.575	NA	NA	166360	1194.16
EUREX	37249407	1276000	34784745	1614430	356049134	12864200	449765293	20192700
Euronext	1141685	54482.3	4049042	236926	9924489	478101	40899510	2402280
Johannesburg Stock Exchange	314103	186.639	1468614	28316	3601516	223402	18696552	390640
Moscow Exchange	2996383	5420.51	17554177	31098.7	27165779	44611	218876322	358155
Nasdaq Nordic Exchanges	637183	9886.82	3581600	56338.2	7970968	127410	39325424	612602
Oslo Bors	108618	50.1608	278890	128.804	673442	297.626	3184246	1320.74
Tel-Aviv Stock Exchange	3670928	NA	NA	NA	35021430	NA	7371	NA
Warsaw Stock Exchange	41138	176.397	348650	3009.83	342346	1570.67	4219514	38634.3

B 2016 11 ETF

Exchange	Nov		
	Number of ETFs	Total Turnover	Number of Trades
Americas			
BM&FBOVESPA S.A.	15	1418.048	353
Bolsa de Comercio de Santiago	219	11.217	0.31
Bolsa de Valores de Colombia	6	60.277	0.2
Bolsa de Valores de Lima	67	3.41	0.05
Bolsa Mexicana de Valores	26	1829.493	73.64
Nasdaq – US	267	811439.84	35686.06
NYSE	1546	478639.18	25514.4
TMX Group	619	8077.439	991.1

Asia – Pacific			
Australian Securities Exchange	132	1080.598	#N/A
BSE India Limited	46	719.254	31.32
Bursa Malaysia	8	135.05	0.26
Hanoi Stock Exchange	1	#N/A	#N/A
Hong Kong Exchanges and Clearing	153	10117.87	293.7
Indonesia Stock Exchange	NA	#N/A	#N/A
Japan Exchange Group Inc.	224	43290.36	#N/A
Korea Exchange	244	19427.462	1465.44
National Stock Exchange of India Limited	57	352.581	234.02
NZX Limited	23	32.25	0.91
Shanghai Stock Exchange	76	11345.596	970
Shenzhen Stock Exchange	48	26310.23	914.33
Singapore Exchange	77	325.382	#N/A
Taiwan Stock Exchange	61	4812.52	1109.92
The Stock Exchange of Thailand	15	24.445	5.82
Europe - Africa - Middle East			
Athens Stock Exchange (ATHEX)	3	0.276	0.09
BME Spanish Exchanges	21	641.948	#N/A
Borsa Istanbul	11	62.552	21.96
Bucharest Stock Exchange	1	0.019	0.05
Budapest Stock Exchange	1	0.097	0.05
Deutsche Boerse AG	1149	16548.483	395.06
Euronext	793	14684.914	227.2
Irish Stock Exchange	215	0.637	0.15
Johannesburg Stock Exchange	49	617.76	23.4
LSE Group	2485	54487.588	824
Luxembourg Stock Exchange	122	#N/A	#N/A
Namibian Stock Exchange	4	1.557	0
Nasdaq Nordic Exchanges	36	809.357	33.6
Nigerian Stock Exchange	8	0.003	0.06
Oslo Bors	4	296.729	13.26
Saudi Stock Exchange (Tadawul)	3	18.001	0.15
SIX Swiss Exchange	1093	11569.044	98.79
Tehran Stock Exchange	6	20.123	5
Warsaw Stock Exchange	3	3.618	1.04
Wiener Borse	#N/A	#N/A	#N/A

C 2016 11
ETF

Derivative Exchange	Nov	Year-to-Date Total
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	ETF Options		ETF Futures		ETF Options		ETF Futures	
	Number of Contracts Traded	Notional Turnover	Number of Contracts Traded	Notional Turnover	Number of Contracts Traded	Notional Turnover	Number of Contracts Traded	Notional Turnover
Americas								
BM&FBOVESPA	642 018	112 010.0	0	0.0	3 613 665	441 328.0	0	0.0
Chicago Board Options Exchange	34 557 618	NA	NA	NA	300 646 677	NA	NA	NA
International Securities Exchange	25 349 813	NA	NA	NA	260 191 694	NA	NA	NA
MexDer	0	0.0	0	0.0	293	0.1	0	0.0
Miami International Securities Exchange	7 639 963	NA	NA	NA	108 189 334	NA	NA	NA
Nasdaq - US	37 353 653	NA	NA	NA	346 128 617	NA	NA	NA
NYSE	35 081 601	4 873.9	NA	NA	291 422 781	44 375.2	NA	NA
Asia – Pacific								
Hong Kong Exchanges and Clearing	275 725	2 187.0	1 075	8.4	3 260 825	22 629.9	32 525	275 725
Japan Exchange Group	6 000	NA	NA	NA	66 761	NA	NA	6 000
TAIFEX	20 372	174.5	122 121	1 205.9	310 838	2 425.5	1 627 811	20 372
Europe - Africa - Middle East								
Johannesburg Stock Exchange	0	0.0	23 494	3.7	616 675	21.6	2 837 108	530.7

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