

# **CSI Index Monthly Report**

**April 2020 Issue**

## CSI Express

- In April, the China A-share market (CSI All Share Index) showed a modest growth. CSI 300 Index went up by 6.14% to 3913 points. CSI 500 Index went up by 6.20% to 5354 points. Shanghai Composite Index closed at 2860 points, with a monthly increase of 3.99%. Large caps outperformed small caps for the latest month. IT and Healthcare led the sector group. Average daily turnover of A-share market decreased 12% to CNY 866 billion. CSI Universal Bond Index gained 1.41% to 197.62 points.
  
- Index release announcement
  - CSI 300 ESG Index is designed to cover stocks with ESG scores above 80% industry peers within CSI 300 Index universe.
  - CSI 300 ESG Leaders Index is designed to cover 100 stocks with highest ESG scores within CSI 300 Index universe.
  - CSI 300 ESG Value Index is designed to cover 100 stocks with high ESG scores as well as high value scores within CSI 300 Index universe.
  - CSI ESG 120 Strategy Index is designed to cover 120 stocks with ESG scores above 80% industry peers and high factor scores, which are calculated by value, dividend, quality, and market factor, within CSI 300 Index universe.
  - CSI Huaxia Bank ESG Index is designed to cover representative stocks with ESG scores above 80% industry peers and high factor scores, which are calculated by value, dividend quality and market factor, within CSI 300 Index universe.
  - CSI Online Consumption Index select the stocks listed on SSE and SZSE whose business involves online shopping, express services, movies and entertainment, online game, live video, online education and telemedicine.
  - CSI SH-HK-SZ Online Consumption Index select the stocks listed on SSE, SZSE and HKEX whose business involves online shopping, express services, movies and entertainment, online game, live video, online education and telemedicine.
  - CSI Health Care Innovation Index selects 30 enterprises in healthcare sector listed on Shanghai and Shenzhen Stock Exchange which are characterized by good profitability and high innovative ability. The index is to measure the overall performance of the stocks that have both profitability and growth in medicine and medical devices.
  - CSI Artificial Intelligence 50 Index and CSI SH-HK-SZ Artificial Intelligence 50 Index select 50 companies which provide the basic resources, technical and applications support for artificial intelligence as candidate stocks.
  - CSI Securities and Insurance Leading Index selects the stocks of listed companies which are revenue leader or low valuation companies in securities and insurance industry as constituents.
  - CSI Market Grader SH-HK-SZ 120 Index selects 120 stocks listed on Shanghai, Shenzhen or Hong Kong Stock Exchange which are characterized by high profitability, abundant cash flow, high growth capability and low valuation as constituents.
  - CSI Dividend Quality Index selects 50 stocks which are characterized by continuous dividend-paying record, high dividend payout ratio and strong profitability as constituents from A-share stocks listed on Shanghai and Shenzhen Stock Exchange.

- CSI Health Care Top Index selects the stocks with large capitalization companies of each subdivision industry in healthcare industry as constituents.
  - CSI Stock Connect A Shares Strategy Index selects the Top 50 A shares ranking by the proportion of shares held by northbound investors to total shares from stocks eligible for trading under Shanghai Stock Connect or Shenzhen Stock Connect.
- 23 index products benchmarked to CSI-licensed indices were incepted in China mainland market.
- Fullgoal launched an ETF tracking CSI Banks Index, which raised CNY 313 million.
  - AXA SPDB launched a feeder fund tracking CSI High Dividend Yield Select Index, which raised CNY 208 million.
  - Zhongtai Securities (Shanghai) AM launched an enhanced index fund tracking CSI 300 Index, which raised CNY 556 million.
  - Guotai launched a feeder fund tracking CSI New Energy Vehicles Index, which raised CNY 11 million.
  - Boserla launched an ETF tracking CSI 300 Index, which raised CNY 265 million.
  - Harvest launched a feeder fund tracking CSI All Share Investment Banking & Brokerage Index, which raised CNY 91 million.
  - Guotai launched a feeder fund tracking CSI All Share Household Appliances Index, which raised CNY 11 million.
  - Horizon launched an ETF tracking SSE Securities Sector Index, which raised CNY 204 million.
  - Tibet Eastmoney launched an index fund tracking CSI Health Care Index, which raised CNY 180 million.
  - Maxwealth launched an ETF tracking CSI 300 Index, which raised CNY 282 million.
  - GF launched an ETF tracking CSI 800 Index, which raised CNY 223 million.
  - CCB Principal launched an ETF tracking CSI 800 Index, which raised CNY 321 million.
  - Bank of China IM launched an ETF tracking CSI 100 Index, which raised CNY 320 million.
  - Harvest launched a feeder fund tracking CSI Consumer Staples Index, which raised CNY 47 million.
  - Dongxing Securities launched an index fund tracking CSI Consumer 50 Index, which raised CNY 203 million.
  - China Southern launched an enhanced index fund tracking CSI 300 Index, which raised CNY 240 million.
  - Harfor launched a feeder fund tracking CSI Artificial Intelligence Industry Index, which raised CNY 222 million.
  - Nanhua launched a feeder fund tracking CSI Hangzhou Bay Area Index, which raised CNY 216 million.
  - China Southern launched an enhanced index fund tracking SSE 50 Index, which raised CNY 209 million.
  - Harvest launched an ETF tracking CSI Health Care and Medical Quality and Growth 100 Index, which raised CNY 344 million.
  - E Fund launched a feeder fund tracking CSI Zhejiang New Economic Engine Index, which raised CNY 210 million.
  - E Fund launched an ETF tracking CSI Zhejiang New Economic Engine Index, which raised CNY 267 million.
  - BOC International Securities launched an ETF tracking CSI 500 Index, which raised 251 million.

## CSI&SSE Major A-Share Indices Performance

Index	Close	1M	3M	12M	YTD	12M	P/E	P/B	Dividend
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						Volatility			Yield
CSI 300	3,912.58	6.14%	-2.28%	-0.02%	-4.49%	21.23%	12.06	1.37	2.51%
CSI 100	4,014.94	5.95%	-3.33%	-3.36%	-7.02%	20.78%	10.33	1.20	2.96%
CSI 200	4,141.98	6.55%	-0.01%	7.80%	1.27%	23.84%	20.63	2.12	1.42%
CSI 500	5,353.86	6.20%	-0.44%	0.87%	1.64%	25.21%	19.86	1.74	1.40%
CSI 800	4,148.69	6.16%	-1.83%	0.24%	-3.03%	21.76%	13.10	1.44	2.27%
CSI 1000	5,678.17	4.63%	-0.37%	-0.07%	2.00%	26.85%	24.75	2.18	1.06%
CSI Dividend	4,090.12	2.89%	-5.28%	-13.63%	-7.89%	20.02%	7.17	0.87	4.80%
SSE Composite	2,860.08	3.99%	-3.91%	-7.09%	-6.23%	19.20%	11.98	1.28	2.47%
SSE 50	2,861.91	6.42%	-2.41%	-2.83%	-6.57%	20.03%	9.43	1.06	3.19%
SSE 180	8,326.52	5.23%	-2.93%	-4.32%	-6.21%	19.97%	10.35	1.15	2.94%
SSE 380	4,768.41	4.96%	-0.82%	-2.02%	-1.00%	22.77%	16.62	1.80	1.91%
SSE MidCap	3,230.94	3.08%	-3.88%	-7.22%	-5.51%	21.16%	13.15	1.39	2.42%
SSE SmallCap	3,820.94	3.72%	-2.89%	-6.76%	-3.66%	23.47%	18.29	1.60	1.61%
SSE Dividend	2,451.31	2.29%	-7.77%	-14.71%	-10.91%	18.47%	6.59	0.74	5.32%

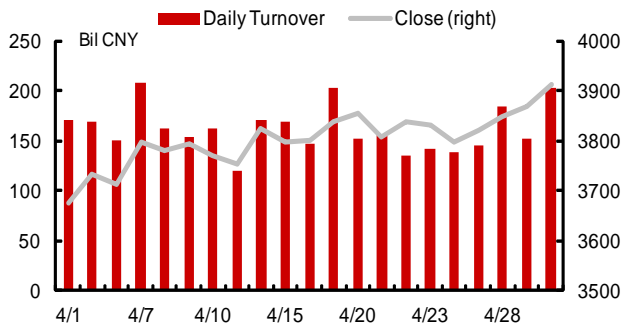
## CSI&SSE A-Share Size Indices Representativeness

CSI Indices			
Large Cap	Mid Cap	Small Cap	Mini Cap
CSI 100 (37.5%, 14.0%)*	CSI 200 (15.5%, 14.9%)	CSI 500	CSI 1000
CSI 300 (53.0%, 28.9%)		(14.5%, 19.3%)	(13.8%, 25.0%)
CSI 800 (67.5%, 48.2%)			
Shanghai + Shenzhen A-Share Mkt Cap 56.1 trillion CNY, Average Daily Turnover 865.8 billion CNY			
[*] Index (% Mkt Cap of Shanghai+Shenzhen A-Share, % Turnover of Shanghai+Shenzhen A-Share)			

SSE Indices		
Large Cap	Mid Cap	Small Cap
SSE 50 (43.0%, 20.5)**	SSE MidCap (20.0%, 20.7%)	SSE SmallCap (15.4%, 22.9%)
SSE 180 (62.9%, 41.2%)		SSE 380 (15.9%, 21.6%)
Shanghai A-Share Mkt Cap 33.0 trillion CNY, Average Daily Turnover 341.0 billion CNY		
[**] Index (% Mkt Cap of Shanghai A-Share, % Turnover of Shanghai A-Share)		

## CSI 300 Index Analysis

### Overview



### Risk-Return Analysis

	1M	3M	6M	12M	YTD
Performance	6.14%	-2.28%	0.66%	-0.02%	-4.49%
Volatility	14.47%	29.61%	22.93%	20.80%	27.08%
	P/E	P/B	EPS	ROE	ROA
CSI 300	14.60	1.93	0.71	12.47%	13.41%
Energy	18.61	0.82	0.28	3.90%	4.94%
Materials	31.58	1.95	0.27	2.03%	1.98%
Industrials	16.73	1.91	0.49	9.82%	11.61%
Consumer Dis	17.29	3.20	1.03	16.81%	19.05%
Consumer Sta	68.97	13.31	1.19	19.30%	20.44%
Health Care	48.26	7.43	0.74	15.40%	16.26%
Financials	8.54	1.33	0.89	15.44%	15.88%
IT	35.69	5.06	0.55	13.92%	14.82%
Telecom Serv	39.88	2.48	0.28	6.22%	11.38%
Utilities	13.17	1.95	0.53	14.82%	19.40%

### Summary

Close	3912.58	Change	6.14%	# of Stocks	300
Open	3682.30	Average Daily Volume	106.81 Mil Lots	Advanced	197
High	3921.7	Average Daily Turnover	161.58 Bil CNY	Declined	96
Low	3652.32	Turnover Rate	8.00%	Unchanged	7

### Top 5 Weighted Stocks

Name	Ticker	Mkt Cap (Bil CNY)	Adjusted Mkt Cap	Wgt	Chg
PING AN OF CHINA	601318	806.6	806.6	6.10%	7.65%
KWEICHOW MOUTA	600519	1590.0	636.0	4.81%	13.92%
CMB	600036	723.9	361.9	2.74%	8.71%
HR	600276	411.3	287.9	2.18%	1.05%
GREE	000651	329.5	263.6	1.99%	4.92%

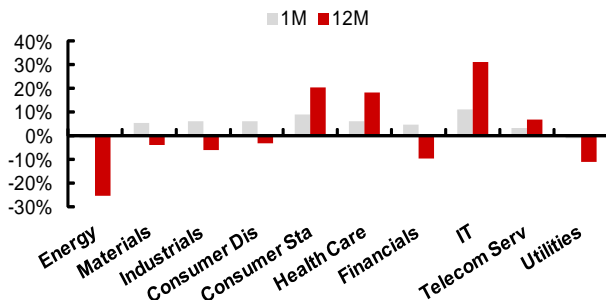
### Sector Analysis

Sector	Names	Mkt Cap (Bil CNY)	Wgt	1M	Cont
Energy	10	1652	1.75%	-0.87%	-0.60
Materials	34	1731	6.15%	5.11%	11.69
Industrials	53	3316	11.29%	6.20%	25.78
Consumer Dis	30	2532	9.80%	6.45%	23.24
Consumer Sta	16	4004	13.57%	8.74%	42.71
Health Care	29	2023	8.28%	6.40%	19.48
Financials	77	11757	35.25%	4.84%	63.70
IT	34	2717	9.54%	11.46%	38.38
Telecom Serv	8	823	2.12%	3.21%	2.59
Utilities	9	770	2.25%	-0.62%	-0.55

### Constituent Performance

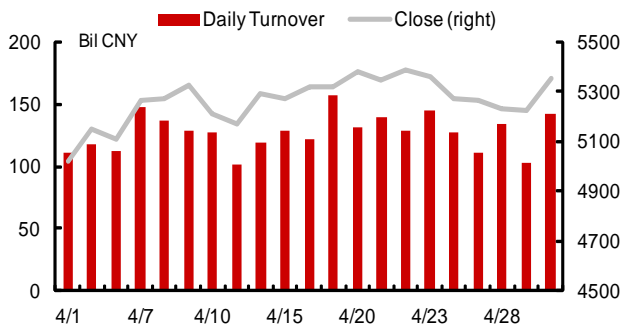
Name	Ticker	Close	1M	Cont	Turnover Rate
<b>Top 5 Performers</b>					
SANHUA	002050	22.03	37.09%	2.44	22.57%
CITS	601888	91.30	35.86%	6.97	13.14%
AVARY HOLDING	002938	41.79	31.58%	0.76	93.85%
TONGWEI	600438	15.11	30.15%	2.67	70.00%
WALVAX	300142	40.47	27.83%	3.21	47.90%
<b>Bottom 5 Performers</b>					
MERRO PHARMACE	600297	3.45	-15.23%	-0.60	9.36%
COSCO SHIP HOLD	601919	3.60	-12.41%	-0.58	6.84%
TIANQI LITHIUM	002466	16.56	-10.24%	-0.50	87.47%
AISINO CORPORAT	600271	17.17	-10.06%	-0.64	17.75%
HPI	600011	4.22	-10.02%	-0.61	4.04%
<b>Top 5 Contributors</b>					
KWEICHOW MOUTA	600519	1265.70	13.92%	23.03	4.92%
PING AN OF CHINA	601318	74.46	7.65%	16.97	9.85%
WULIANGYE	000858	135.77	17.86%	11.82	11.61%
LXJM	002475	47.31	23.98%	8.70	27.45%
CMB	600036	35.09	8.71%	8.58	3.91%
<b>Bottom 5 Contributors</b>					
PING AN OF CHINA	601318	69.17	-11.00%	-27.49	16.06%
GREE	000651	52.20	-11.82%	-10.00	20.63%
LXJM	002475	38.16	-20.17%	-9.19	43.32%
BOE	000725	3.71	-24.29%	-8.37	69.99%
HIKVISION	002415	27.90	-20.29%	-7.88	15.12%

### Sector Performance



## CSI 500 Index Analysis

### Overview



### Risk-Return Analysis

	1M	3M	6M	12M	YTD
Performance	6.20%	-0.44%	8.88%	0.87%	1.64%
Volatility	21.34%	34.94%	27.00%	24.87%	32.00%
	P/E	P/B	EPS	ROE	ROA
CSI 500	24.43	2.18	0.35	6.66%	7.15%
Energy	33.81	1.27	0.17	-1.48%	-1.72%
Materials	27.20	1.63	0.23	1.06%	0.89%
Industrials	24.46	1.94	0.32	7.04%	7.43%
Consumer Dis	17.98	1.66	0.40	7.47%	7.71%
Consumer Sta	37.14	3.15	0.31	6.72%	6.70%
Health Care	27.95	3.54	0.56	11.83%	12.62%
Financials	12.09	1.54	0.49	12.20%	13.83%
IT	50.78	4.63	0.29	7.96%	8.08%
Telecom Serv	45.18	5.79	0.26	6.37%	6.86%
Utilities	13.09	1.48	0.38	11.29%	14.25%

### Summary

Close	5353.86	Change	6.20%	# of Stocks	500
Open	5029.58	Average Daily Volume	123.96 Mil Lots	Advanced	306
High	5407.49	Average Daily Turnover	127.05 Bil CNY	Declined	192
Low	4998.11	Turnover Rate	28.12%	Unchanged	2

### Top 5 Weighted Stocks

Name	Ticker	Mkt Cap (Bil CNY)	Adjusted Mkt Cap	Wgt	Chg
SEVENSTAR	002371	74.7	37.3	0.85%	30.84%
WINGTECH	600745	119.9	36.0	0.82%	5.13%
WINNING HEALTH	300253	41.7	33.4	0.76%	21.27%
EVE	300014	65.4	32.7	0.75%	16.16%
HDGF	002463	46.3	32.4	0.74%	13.43%

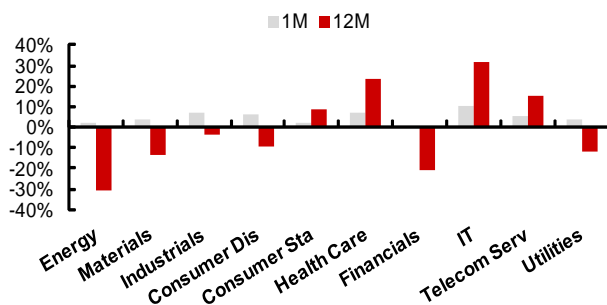
### Sector Analysis

Sector	Names	Mkt Cap (Bil CNY)	Wgt	1M	Cont
Energy	13	181	1.88%	2.44%	2.03
Materials	81	1262	15.28%	4.98%	30.55
Industrials	117	1944	20.90%	8.25%	76.46
Consumer Dis	70	963	9.99%	7.97%	33.02
Consumer Sta	24	483	5.15%	2.89%	5.95
Health Care	50	1045	12.68%	8.80%	46.95
Financials	47	722	8.30%	1.00%	2.51
IT	69	1507	20.38%	11.83%	102.84
Telecom Serv	11	272	2.86%	5.78%	7.54
Utilities	18	289	2.57%	3.59%	4.56

### Constituent Performance

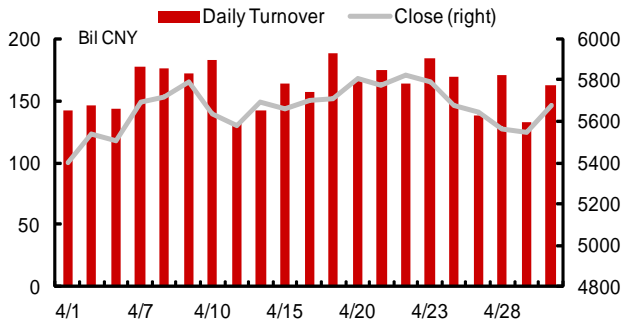
Name	Ticker	Close	1M	Cont	Turnover Rate
<b>Top 5 Performers</b>					
HWHG	600079	20.70	52.21%	8.23	68.01%
GRGBANKING	002152	13.08	51.56%	6.56	116.90%
SHAGANGGUFEN	002075	13.48	49.78%	9.67	99.62%
TUOPU GROUP	601689	24.26	49.20%	4.13	36.24%
ULTRAPOWER	300002	5.23	43.29%	3.79	213.39%
<b>Bottom 5 Performers</b>					
JIANGTE MOTOR	002176	1.67	-22.33%	-0.70	43.41%
JINKE ENTERTAINM	300459	2.30	-22.30%	-1.14	39.95%
LIAISON INTERACT	002280	2.07	-20.38%	-0.99	55.41%
CPT TECH GROUP	000536	1.54	-19.79%	-0.64	31.01%
HSJM	000980	2.04	-19.05%	-0.71	42.58%
<b>Top 5 Contributors</b>					
SEVENSTAR	002371	152.17	30.84%	10.76	40.72%
SHAGANGGUFEN	002075	13.48	49.78%	9.67	99.62%
HWHG	600079	20.70	52.21%	8.23	68.01%
DSBJ	002384	26.54	28.77%	8.16	66.70%
JCET	600584	25.19	28.06%	7.58	118.65%
<b>Bottom 5 Contributors</b>					
JCET	600584	19.67	-26.08%	-9.53	143.59%
NINESTAR	002180	116.30	-21.42%	-9.52	72.40%
SUNWODA	300207	42.50	-27.15%	-7.81	43.76%
DSBJ	002384	20.97	-18.47%	-7.64	84.14%
WINGTECH	600745	22.11	-36.10%	-7.32	55.45%

### Sector Performance



## CSI 1000 Index Analysis

### Overview



### Risk-Return Analysis

	1M	3M	6M	12M	YTD
Performance	4.63%	-0.37%	8.08%	-0.07%	2.00%
Volatility	23.59%	36.90%	28.57%	26.50%	33.72%
	P/E	P/B	EPS	ROE	ROA
CSI 1000	27.17	2.22	0.29	5.33%	5.64%
Energy	25.73	0.96	0.12	-8.06%	-9.10%
Materials	34.73	2.31	0.25	0.55%	0.64%
Industrials	22.73	1.96	0.28	6.59%	7.02%
Consumer Dis	16.50	1.56	0.37	7.34%	7.71%
Consumer Sta	48.44	3.37	0.22	5.75%	6.01%
Health Care	30.96	3.08	0.38	8.10%	8.52%
Financials	15.10	1.50	0.28	7.82%	8.63%
IT	45.01	3.36	0.26	6.37%	6.60%
Telecom Serv	33.21	2.44	0.27	5.12%	5.52%
Utilities	20.06	1.92	0.24	8.22%	8.57%

### Summary

Close	5678.17	Change	4.63%	# of Stocks	1000
Open	5413.55	Average Daily Volume	165.20 Mil Lots	Advanced	583
High	5846.38	Average Daily Turnover	160.83 Bil CNY	Declined	413
Low	5367.57	Turnover Rate	42.75%	Unchanged	4

### Top 5 Weighted Stocks

Name	Ticker	Mkt Cap (Bil CNY)	Adjusted Mkt Cap	Wgt	Chg
HUA TIAN	002185	35.5	28.4	0.68%	31.54%
NAVINFO	002405	28.8	23.1	0.55%	4.48%
LEAD INTELLIGENT	300450	33.5	20.1	0.48%	1.44%
SGMICRO	300661	32.7	19.6	0.47%	17.54%
MAXSCEND	300782	63.8	19.1	0.46%	49.67%

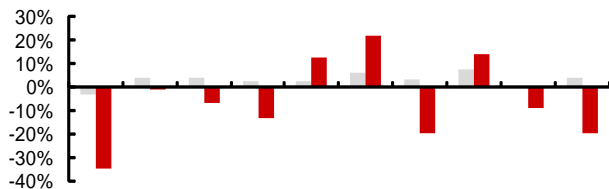
### Sector Analysis

Sector	Names	Mkt Cap (Bil CNY)	Wgt	1M	Cont
Energy	19	112	1.30%	-3.03%	-2.66
Materials	152	1244	15.10%	4.97%	34.08
Industrials	257	1834	22.38%	5.06%	48.69
Consumer Dis	130	901	9.96%	4.61%	13.38
Consumer Sta	56	597	6.70%	3.37%	10.43
Health Care	95	1003	12.10%	6.72%	38.28
Financials	49	370	4.42%	4.04%	7.94
IT	189	1760	22.82%	9.38%	95.66
Telecom Serv	32	239	3.42%	1.93%	1.15
Utilities	21	141	1.80%	4.82%	4.08

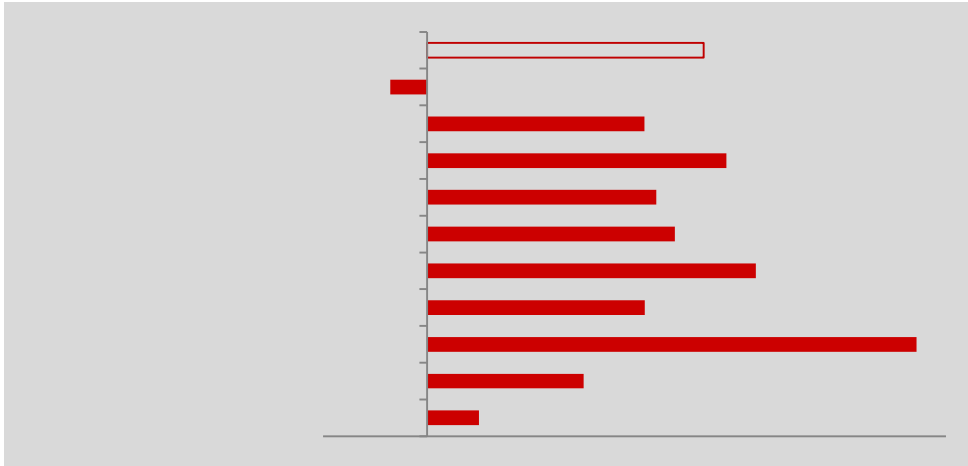
### Constituent Performance

Name	Ticker	Close	1M	Cont	Turnover Rate
<b>Top 5 Performers</b>					
IREADER TECHNOI	603533	34.00	75.89%	1.60	213.78%
GDAD	002400	4.86	72.95%	3.90	336.34%
SINOBIOWAY MEDIC	002581	19.09	66.72%	3.43	342.42%
JWGF	002662	4.15	57.20%	1.23	52.83%
MAXSCEND	300782	637.61	49.67%	8.65	171.68%
<b>Bottom 5 Performers</b>					
YINGE	600069	1.32	-35.61%	-0.81	29.69%
MEIDU ENERGY	600175	0.87	-35.56%	-1.40	37.50%
QIBU	603557	8.22	-33.92%	-0.82	142.96%
TJFAW	000927	2.89	-33.56%	-1.27	22.31%
RAINBOW	002256	1.29	-33.16%	-0.99	34.67%
<b>Top 5 Contributors</b>					
HUA TIAN	002185	12.97	31.54%	9.29	205.98%
MAXSCEND	300782	637.61	49.67%	8.65	171.68%
BETTA PHARMACEI	300558	94.40	34.47%	6.61	30.79%
BGI	300676	105.24	24.25%	4.48	113.15%
HONGDA BLASTING	002683	30.07	33.23%	4.34	49.48%
<b>Bottom 5 Contributors</b>					
MAXSCEND	300782	426.00	-26.93%	-6.43	149.67%
NAVINFO	002405	20.21	-23.76%	-5.54	73.22%
TFME	002156	52.50	-24.24%	-5.54	92.24%
HUA TIAN	002185	85.83	-27.12%	-5.26	81.38%
LEAD INTELLIGENT	300450	22.57	-26.02%	-4.60	62.78%

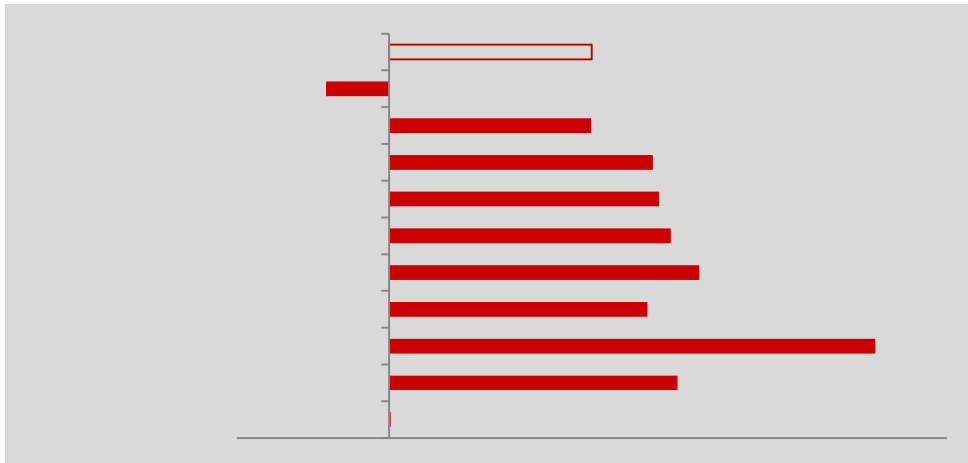
### Sector Performance



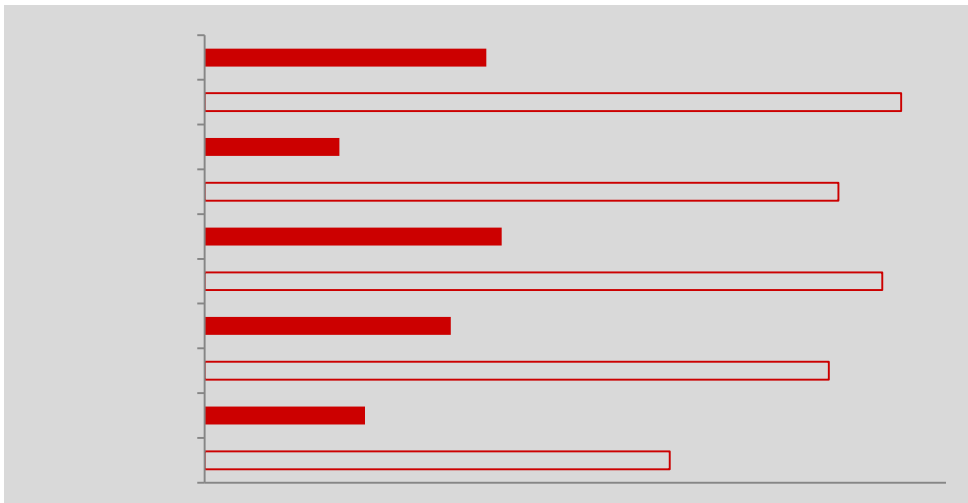
## A Share Sector Performance



## SSE Sector Performance

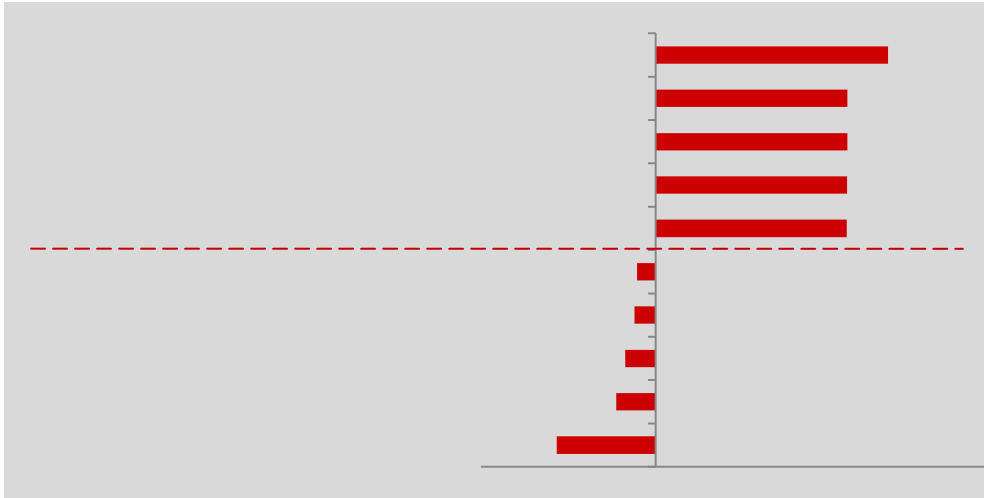


## CSI&SSE A-Share Style Indices

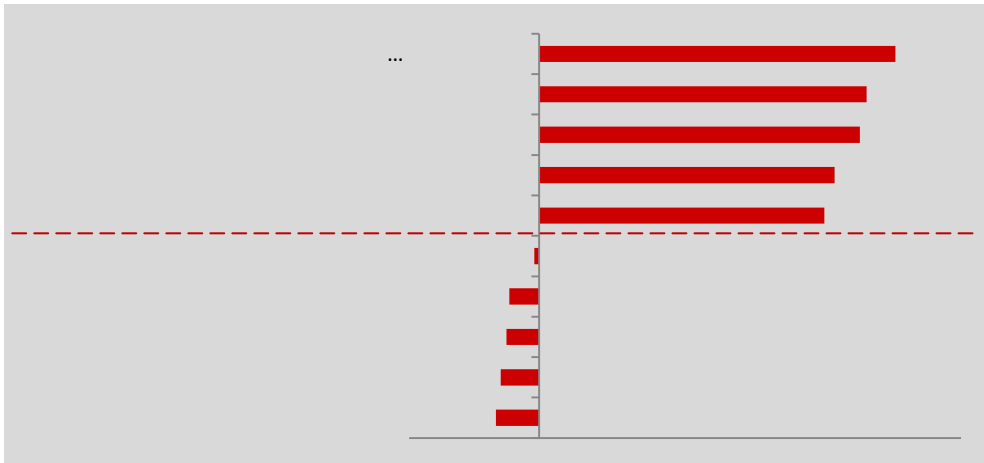




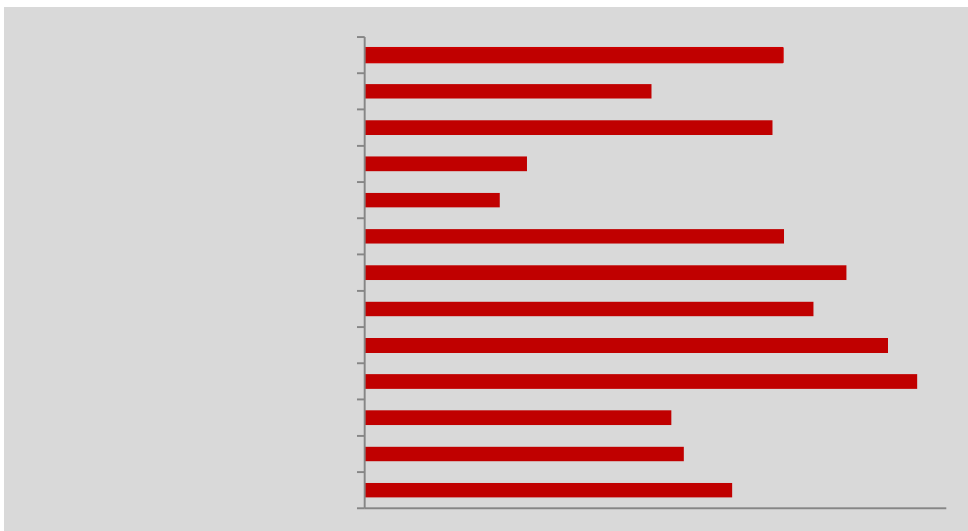
### CSI A-Share Thematic Indices: Top & Bottom Performers



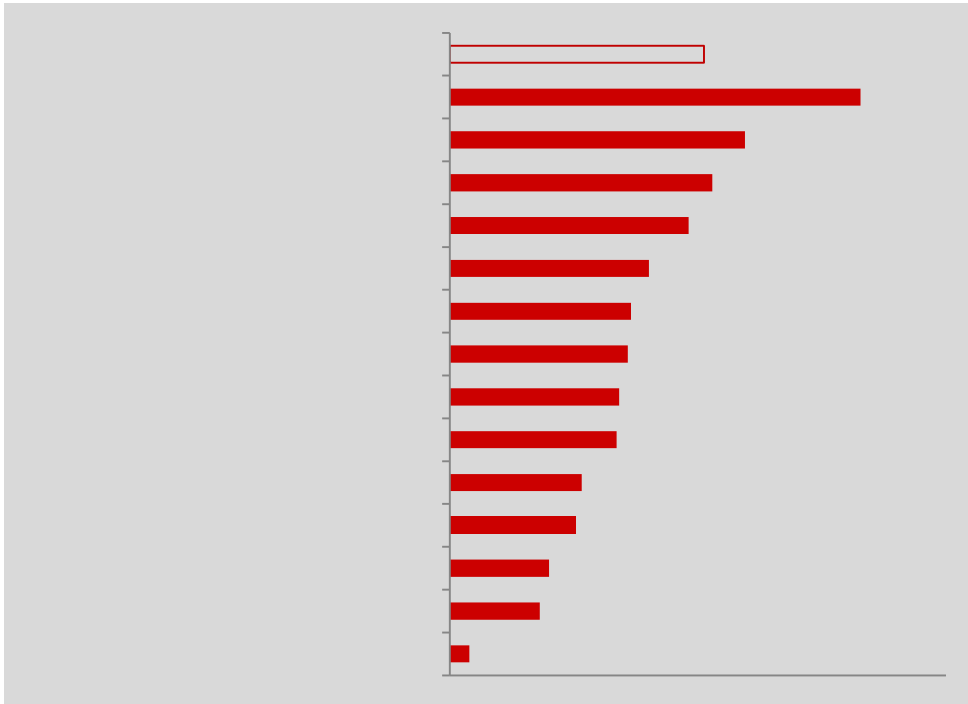
### SSE A-Share Thematic Indices: Top & Bottom Performers



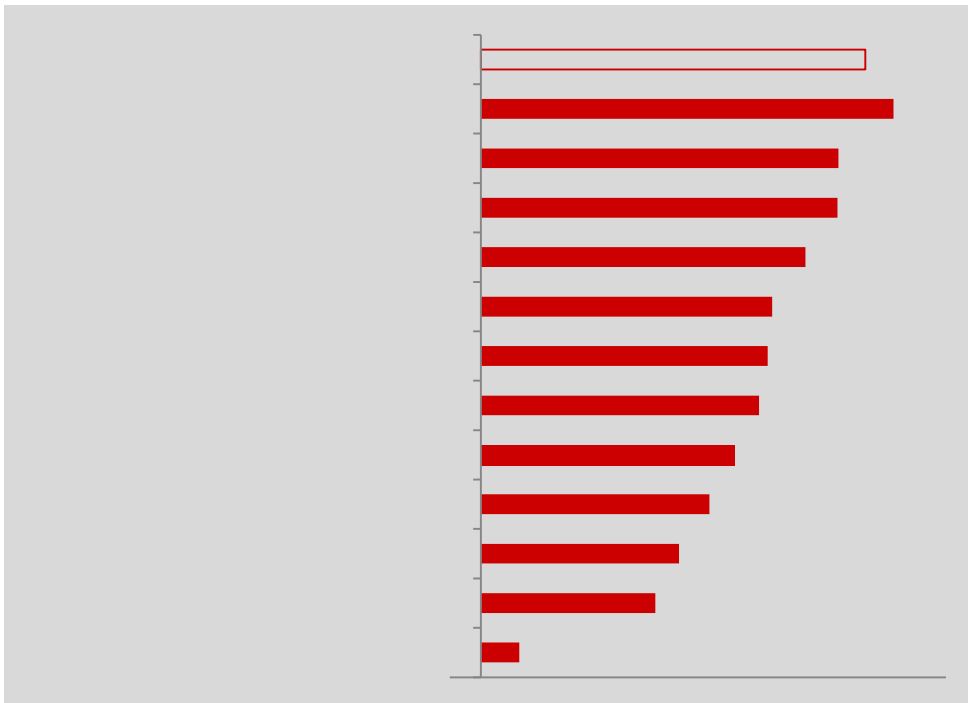
### CSI&SSE A-Share ESG Indices



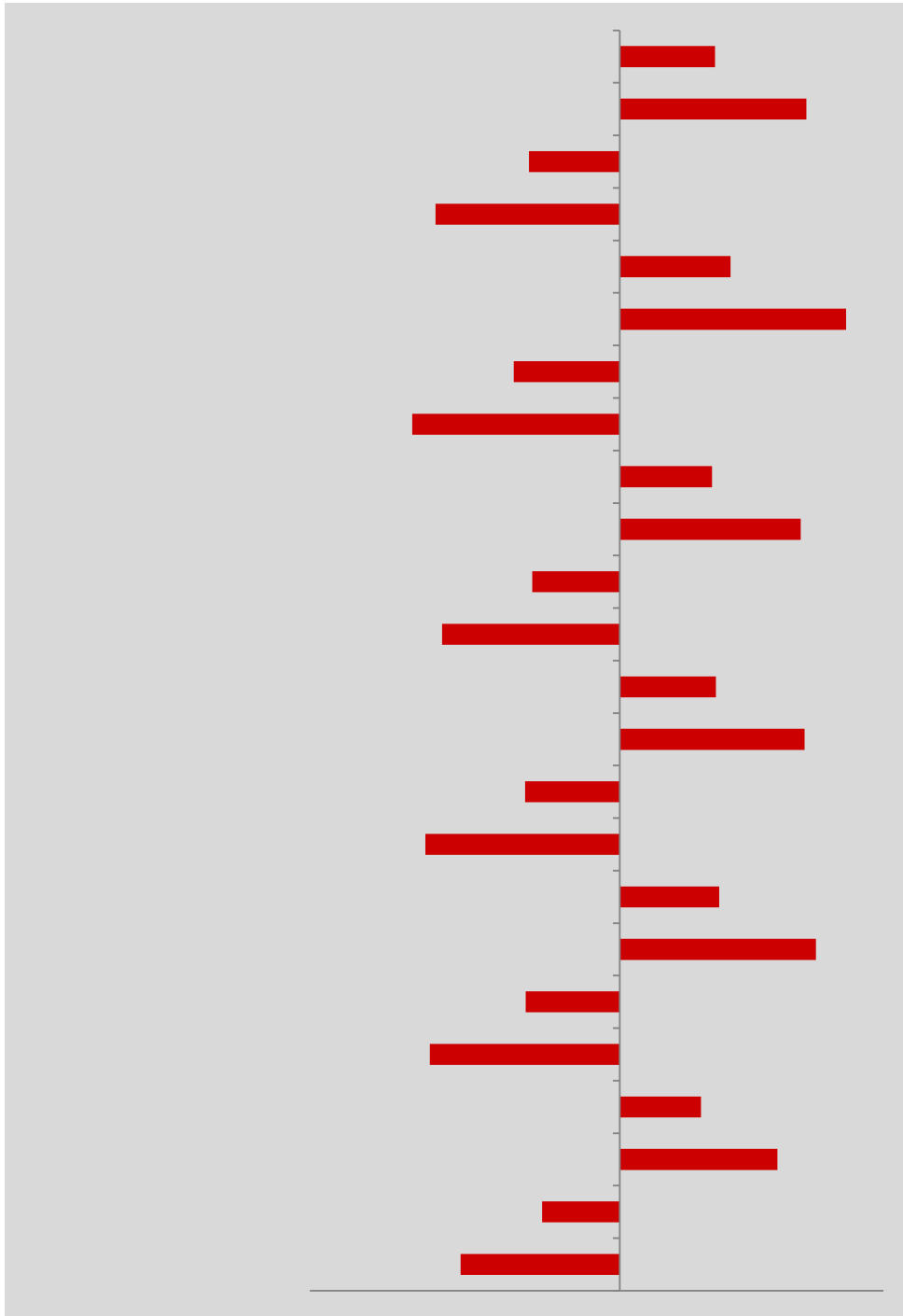
### CSI 300 vs. Smart Beta Indices



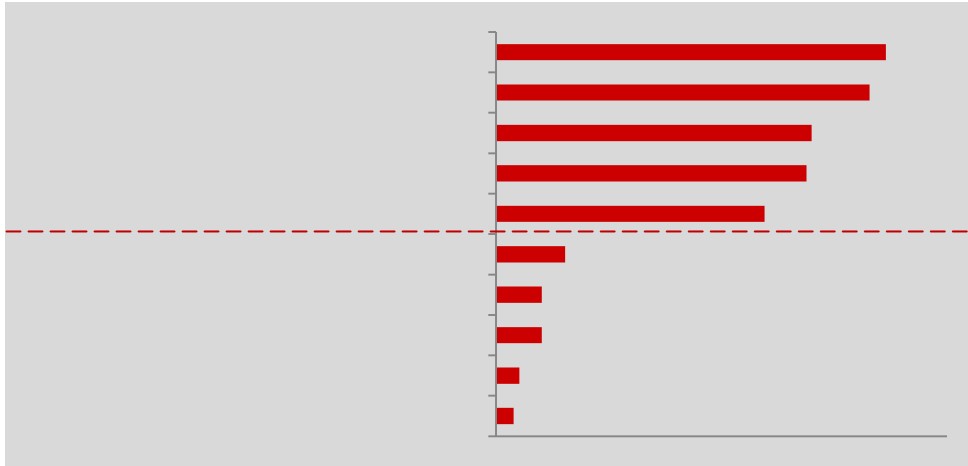
### CSI 500 vs. Smart Beta Indices



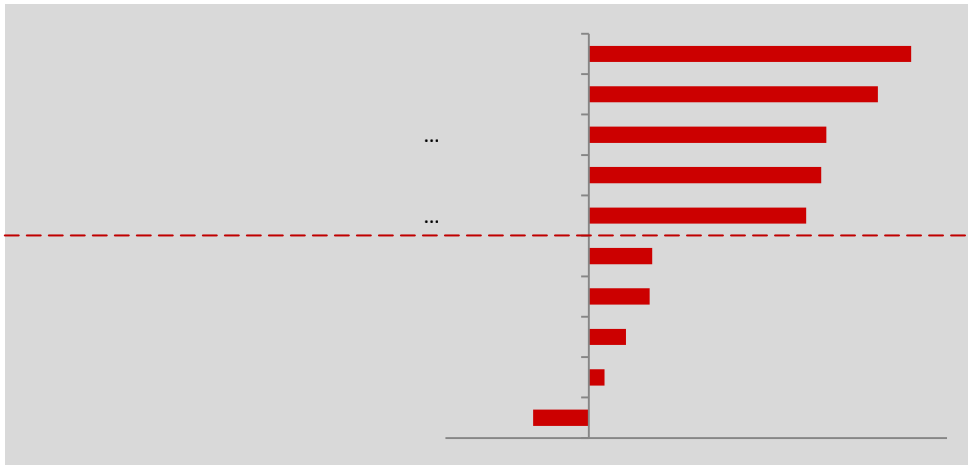
## CSI & SSE A-Share Leveraged/Inversed Indices



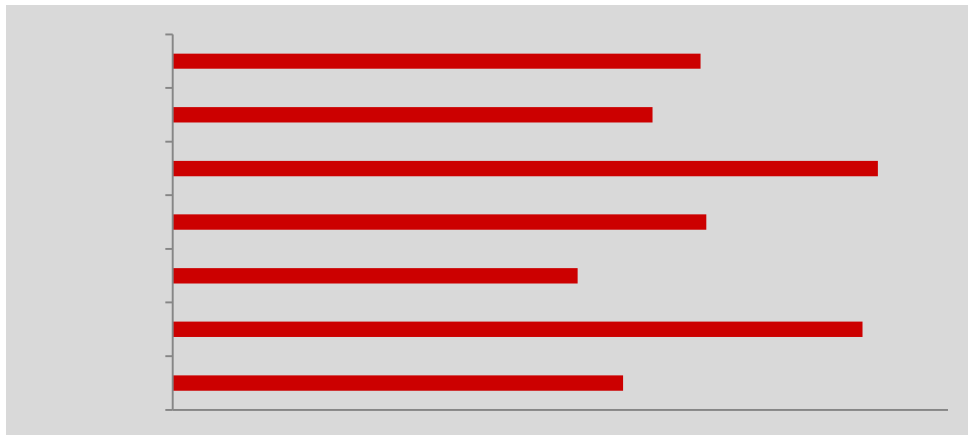
## CSI A-Share Strategy Indices: Top & Bottom Performers



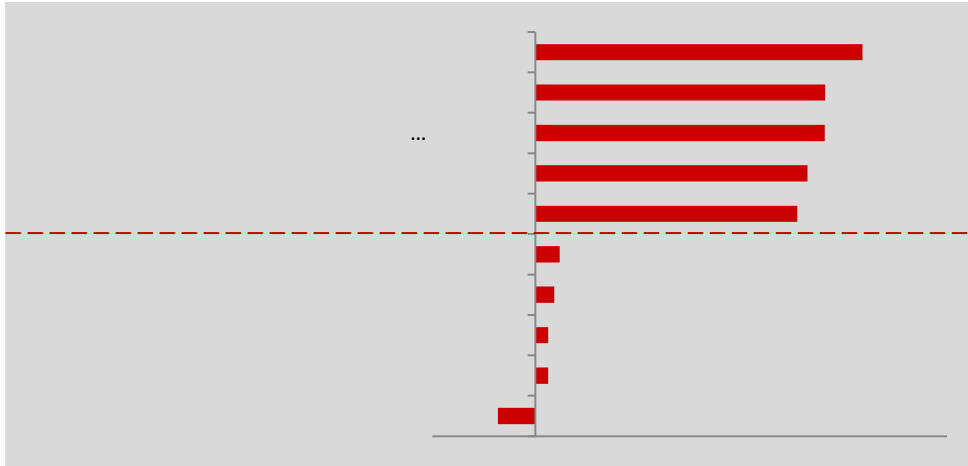
## SSE A-Share Strategy Indices: Top & Bottom Performers



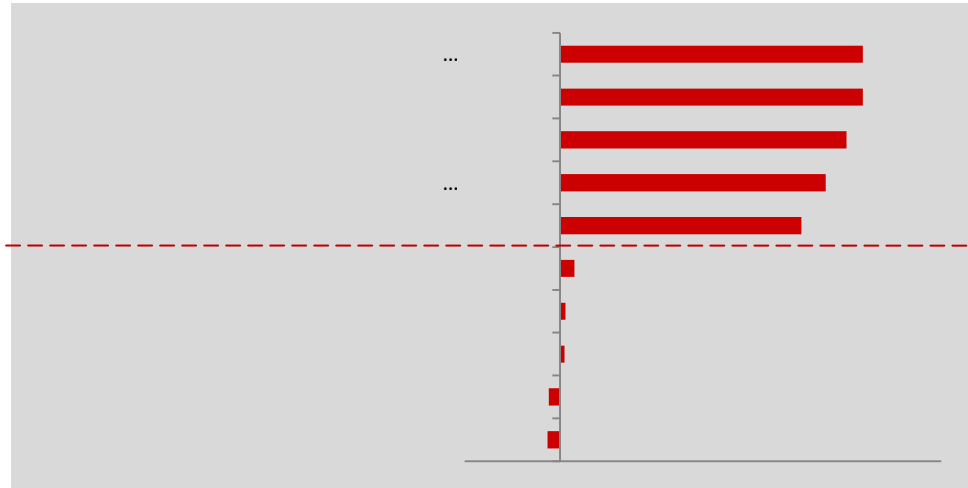
## CSI&SSE A-Share Fundamental Indices



## CSI HK Indices (in HKD): Top & Bottom Performers



## CSI Overseas Indices (Other Series, in CNY): Top & Bottom Performers



## CSI&SSE Major Onshore Bond Indices

Index	Close	1M	YTD	Duration	Modified Duration	Convexity	YTM
CSI Universal Bond	197.62	1.41%	4.03%	4.19	4.10	21.94	2.372
CSI Aggregate Bond	209.03	1.59%	4.56%	4.86	4.76	25.78	2.464
CSI 1 Bond	165.69	0.43%	1.37%	0.47	0.46	0.36	1.710
CSI Aggregate Bond (1-3)	196.39	1.26%	3.07%	1.85	1.82	2.70	2.079
CSI Aggregate Bond (3-7)	213.63	2.11%	4.89%	4.16	4.07	11.10	2.431
CSI Aggregate Bond (7-10)	210.66	1.82%	5.91%	7.26	7.11	30.67	2.929
CSI Aggregate Bond (10+)	225.64	0.33%	7.43%	15.53	15.24	168.51	3.352
CSI Treasury Bond	198.96	1.36%	5.18%	6.64	6.54	52.10	2.110
CSI Financial Bond	205.31	1.84%	4.82%	4.33	4.22	15.54	2.267
CSI Corporate Bond	231.47	1.24%	3.48%	3.06	2.96	8.61	3.453
CSI Short Term Note	170.10	0.33%	1.31%	0.33	0.32	0.23	2.413
CSI 50 Bond	171.57	1.84%	4.99%	4.91	4.80	17.90	2.186
CSI Medium Term Note 50	157.84	1.71%	3.70%	2.91	2.84	6.08	2.552
CSI Short Term Note 50	146.31	0.30%	1.16%	0.31	0.31	0.21	1.654
SSE Corporate Bond 30	162.40	0.92%	2.78%	-	-	-	-
SSE Convertible Bond	316.12	-2.36%	-2.20%	-	-	-	-

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